

## BNY Brokerage Account **NON-PROFIT, FOUNDATION, CHARITY SUPPLEMENT**

BNY Brokerage Services, P.O. Box 958408 Lake Mary, FL 32795

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*Complete this supplement in addition to the application if establishing a Non-Profit, Foundation, or Charity account.*

**For assistance completing this document, please call a BNY Brokerage Account Representative at 1-800-843-5466.**

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1. Does the Foundation, Charity, or Not-For-Profit have a 501(c)(3) designation or applicable foreign equivalent - ☐ Yes ☐ No
    - a. Please provide current IRS Determination Letter and IRS Form 990
  2. Identify geographic locations where the organization operates
    - a. Headquarters Location: \_\_\_\_\_
    - b. Additional Locations (Program Services, Investments, Grant Giving, etc.): \_\_\_\_\_
  3. Describe (or attach to the application) the organization's stated mission (including stated objectives, programs, activities and services): \_\_\_\_\_
  4. Does the organization identify its primary donors (Primary Donors is an individual that accounts for 10% or more of donations to the organization in a given year) - ☐ Yes ☐ No
    - a. If Yes please provide the names and locations of primary donors: \_\_\_\_\_
  5. Are 10% or greater of donations/funding anonymous - ☐ Yes ☐ No
    - a. If yes, please describe your funding model including the reason for anonymous donations: \_\_\_\_\_
  6. Does the organization have primary beneficiaries that individually receive 10% or more of the organizations disbursed funds - ☐ Yes ☐ No
    - a. If Yes, please provide the Primary Beneficiaries Names and Locations: \_\_\_\_\_
  7. Please identify your organization's funding types (i.e. Individual Donations, Operational Revenue, Grants, Membership Dues & Fees, Government Funding, etc.): \_\_\_\_\_
  8. Does the organizations maintain policies and procedures to mitigate Money Laundering & Terrorist Financing risks such as vetting donors, donations, beneficiaries - ☐ Yes ☐ No
  9. Identify any other Charity, Foundation, or Non-Governments Organization that your organization has affiliation with. Provide Affiliates name, country and description of affiliation: \_\_\_\_\_
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